NEW RELATIONSHIP ACCOUNT OPENING DOCUMENTS

Global Asset Management

Table of Contents

1. WELCOME TO ST GLOBAL

Dear Sir(s) / Madam(s)

Thank you for the opportunity to speak to you about ST GLOBAL ASSET MANAGEMENT and our investment and risk management programs. At ST Global we strive to provide superior, active, discretionary investment management services to our clients and we seek to always do so in strict adherence to prevailing laws and regulations.

ST Global is obliged under the Securities Laws and Regulations to identify all individual and entity clients we open and manage an account for, including their beneficial owners and if applicable owners, shareholders, directors and authorized persons.

Please note that **according to FINTRAC Canada regulations we must establish both your identity and a proof of your residential address**. You should know that no one document could attest both, i.e. if your identity is established through a Driver's license your proof of address must be matched from a different document.

All documents must be scanned / photocopied with the 4 corners visible, front and back. Utility bills and banks statements must be provided in PDF form, i.e. they cannot be photocopied and/or cropped.

We have summarized below, according to best industry practices, a list with required documents. Please reach out to your relationship manager if you require further clarifications.

For instructions as to how you can deliver your documents and information, please see the "How to send documents" section at the end of this file.

Sincerely

The ST Global On-Boarding Team

2. CLIENT IDENTIFICATION & AML CLEARANCE

2.1. Identification Documents

2.1.1. For Individuals

Individual identification documents for all account owners, beneficial owners (nominal, not through will), authorized persons:

DOCUMENT TYPE	FORMAT	REQUIREMENTS	COMMENTS
Driver's License	Front & Back Scan	4 Corners visible	
Canadian SIN	Front & Back Scan	4 Corners visible	**MUST HAVE**
Canadian Passport	Front & Back Scan	4 Corners visible	
Canadian Citizenship Card	Front & Back Scan	4 Corners visible	
Canadian PR Card	Front & Back Scan	4 Corners visible	
Recent Utility Bill	PDF / Scan	Within 3 Months	
Recent Bank Statement (Cheque, Saving or Credit Card)	PDF / Scan	Within 3 Months	
Information Release Consent Form		Client Signed	Only if referred to ST Global

Please note one document must verify your identity and another must confirm your residential address. If ST Global or an agent is not in a position to meet you in person we must either proceed with a document or Identity Verification process.

2.1.2. For Entities – Corporations, Partnerships, Trusts, Foundations, etc.

i. Articles of incorporation or Deed of Trust if applicable. These must show the composition of board of directors or board of trustees, ownership and beneficial ownership as well as proof of address;

ii. **Recent utility bill (preferable)** or if you have to **a recent bank statement (chequing or saving or credit card)** showing your entity's full name and declared registered or business address (PDF format, cannot be a picture);

iii. Federal Business / Tax numbers;

iv. Federal or provincial corporation numbers if applicable;

v. Certificates of incorporation;

vi. Individual documents for every director, shareholder (voting or non-voting), beneficial owner, authorized person.

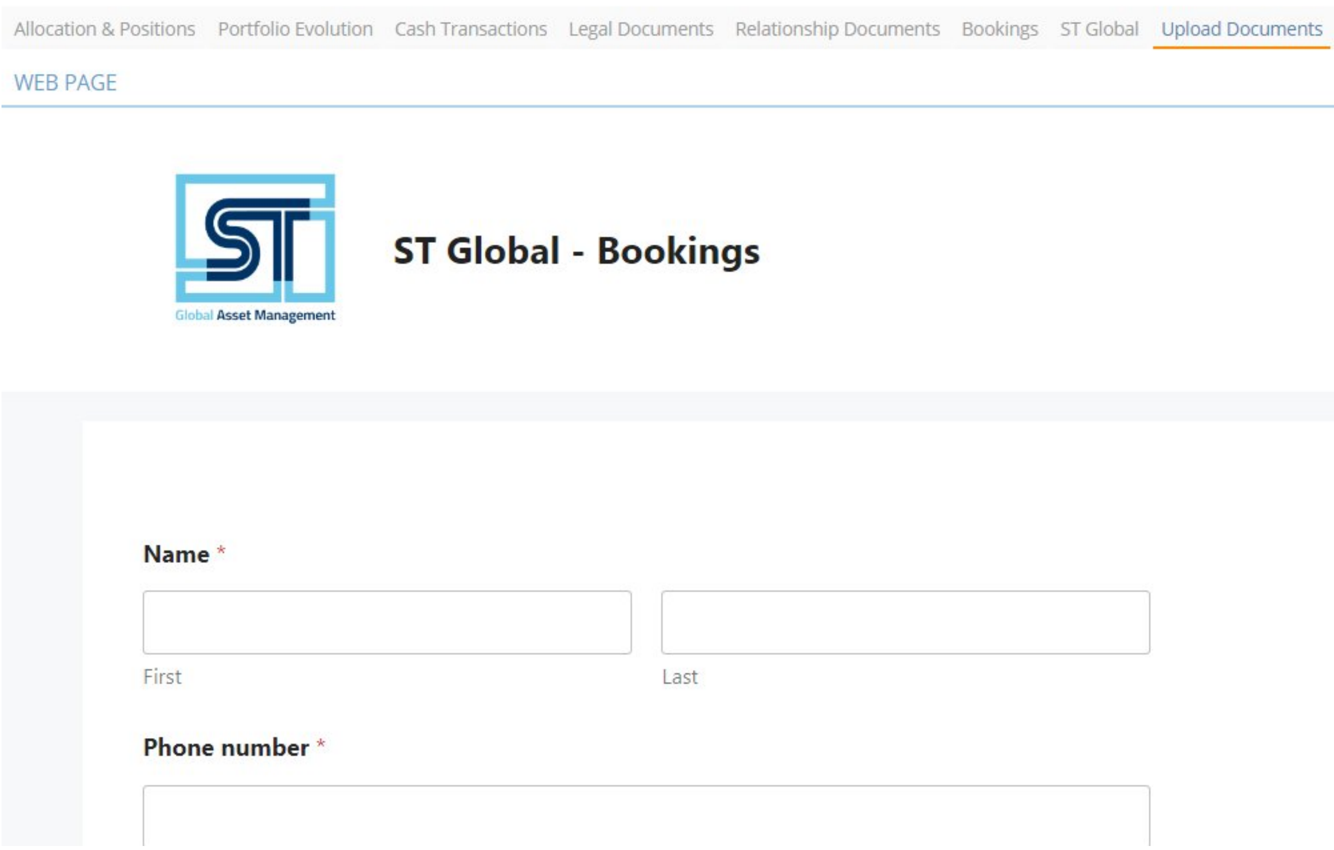
3. ACCOUNT DOCUMENTS

Please send us 1 (one) most recent account statement for each account you want to transfer under the management of ST Global.

4. HOW TO SEND YOUR DOCUMENTS

4.1. Financial Advisers

Financial advisers referring their clients must bulk upload documents in a zip folder. Each referred household must have a subfolder within the zip folder. You can login to our portal at **my.stglobalasset.com** and go to the **Upload Documents** tab under the main dashboard to upload your documents. Alternatively, please go to https://bookings.stglobalasset.com/documents/ to upload your documents.



PLEASE NOTE THAT YOUR FIRST, LAST NAME and EMAIL ADDRESS WILL HAVE TO MATCH OUR RECORDS IN ORDER TO BE ABLE TO UPLOAD YOUR DOCUMENTS.

4.2. Individual or Entity Clients

You can send us your documents by e-mail, either as attached files or shared cloud documents link at the following e-mail address

onboarding@stglobalasset.com

Also, in the body of the message please describe what your expectations are regarding the investment program you want ST Global to manage for your accounts. We are here to listen to you, to advise you and to serve your interests.

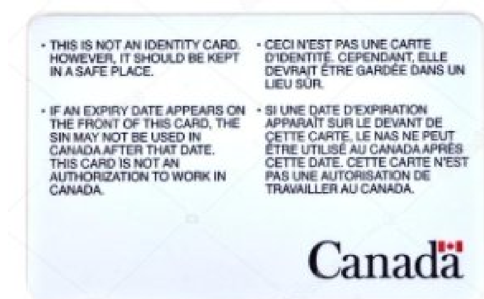
Please put your first and last name in the subject line of the e-mail message!

5. SAMPLE DOCUMENTS

5.1. For Individuals

5.1.1. Driver's License

5.1.2. Canadian SIN



5.1.3. Canadian Passport

5.1.4. Canadian Citizenship Card

5.1.5. Permanent Resident Card